



PCT
IAP4 Rec'd PCT/PTO 28 NOV 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ulf Dietrich et al.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/551,108

Filed: September 26, 2005

For: DISPERSING AGENTS

Attorney Docket No.: WAS0737PUSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).


While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11/18/2005
Date of Deposit

William G. Conger
Name of Person Signing


Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Ulf Dietrich et al.

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: Nov. 18, 2005

BROOKS KUSHMAN P.C.
1000 Town Center, 22nd Floor
Southfield, MI 48075-1238
Phone: 248-358-4400
Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
ULF DIETRICH ET AL. :
Serial Number : Group Art Unit:
Filed: :
For: DISPERSING AGENTS :

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application as well as in the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

EP-A 1090901 is already in English language.

For DE-A 19806482 an English Derwent Abstract is enclosed.

US-A 5362829 is already in English language.

WO-A 97/13732 is already in English language.

For DE 19538821 an English Derwent Abstract is enclosed.

WO-A 00/75208 is already in English language.

For DE-A 19539460 an English Derwent Abstract is enclosed.

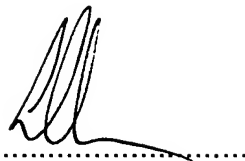
EP-A 792850 is already in English language.

EP-A 590983 is already in English language.

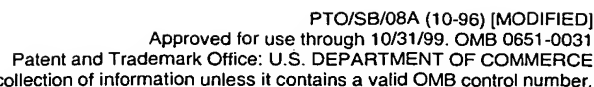
For DE-A 10063291 an English Derwent Abstract is enclosed.

EP-A 816298 is already in English language.

Signed this *JH* day of October, 2005.

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the end.

Dr. Michael Schuderer



Institute for Form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number	10/551,108
Filing Date	September 26, 2005
First Named Inventor	Ulf Dietrich et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	WAS0737PUSA

[illegible]

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		EP	1 090 901 A2		Takemoto Yushi	04/11/2001		
		DE	198 06 482 A1		SKW Trostberg AG	08/19/1999		
		WO	97/13732		Alcell Technology	04/17/1997		
		DE	195 38 821 A1		SKW Trostberg	10/02/1996		
		WO	00/75208 A1		Handy Chemicals	12/14/2000		
		DE	195 39 460 A1		BASF AG	04/30/1997		
		EP	0 792 850 A1		Nippon Shokubai Co	09/03/1997		
		EP	0 590 983 A1		Takemoto Yushi	04/06/1994		
		DE	100 63 291 A1		SKW Polymers GmbH	06/20/2002		
		EP	0 816 298 A1		Takemoto Yushi	01/07/1998		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/551,108	
			Filing Date	September 26, 2005	
			First Named Inventor	Ulf Dietrich et al.	
			Group Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	WAS0737PUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Derwent Abstract corres. to DE 19806482 [AN 1999-432199]	
		Derwent Abstract corres. to DE 19538821 [AN 1996-455292]	
		Derwent Abstract corres. to DE 19539460 [AN 1997-238106]	
		Derwent Abstract corres. to DE 10063291 [AN 2002-629010]	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.